

# MF Global Disaster Recovery Summary

The purpose of this document is to summarize the MF Global Business Continuity and Disaster Recovery plan. The plan is designed to identify the strategies, resources and actions necessary to resume essential business function for critical operational units (departments), in the event of an unplanned disaster or loss of facility. The plan has been developed, documented and exercised to ensure a full business recovery including implementation, course of action and contingency planning.

- Dedicated recovery site, regionally located.
- Dedicated generators that supply power for continuous operations.
- Real-time recovery built for critical applications and servers.
- Telecommunication strategy devised to switch both voice and data
- The building management conducts semi-annual building evacuations and these procedures are also located within the corporate disaster recovery plan.
- Home-based computer access is available to key MF Global employees.

Our Contingency Planning program includes plan development, plan maintenance and project management.

## Plan Development

Plan Development includes plan creation by the corporate disaster recovery coordinator with assistance from each business unit's disaster recovery coordinator. Each plan's data summary includes the following elements:

- Processes
- Telecommunications
- Employees
- Workstations
- Customers
- Exercise results
- Supplies
- Reporting structure
- Vital records
- Vendors
- Scripts and procedures
- Equipment
- Teams
- Assets
- Call lists
- Documents
- Software

## Plan Maintenance

Plan Maintenance consists of ongoing maintenance and enhancement activities, including at least two exercises per business unit per year. Additional exercises can be scheduled if the business unit requires it. The Disaster Recovery Coordinator and each business unit recovery team conduct their exercises and make modifications to their plans between exercises.

## Project Management

Project Management involves comprehensive project management assistance during the initial and subsequent plan maintenance phases of the project. The business unit head(s) are responsible for the signoffs on completed and approved disaster recovery plans. The corporate requires that the Board of Directors sign off on the contingency plans.

MF Global Management team and staff committed to maintaining and improving comprehensive disaster recovery and business continuity planning. The recovery plans are workable documents designed to provide immediate and subsequent recovery from any unplanned business interruption. The following list covers the type of unplanned business disruptions:

- Firm-only disruption
- Business district disruption
- City-wide business disruption
- Building only disruption
- Regional disruption