

## BarclayHedge

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### Barclay Database Addition Form

#### Company Information

Company Name

Street Address

City/State/Zip

Country

Phone

Fax

Company Website

Firm Email

Number of Employees

Is your firm registered with the NFA?  No  Yes

NFA Registered Firm-Number

Inception Date of Firm

Firm Assets under Management:

Current Disclosure Document, Offering Memorandum or Prospectus Attached  No  Yes

#### Key Principals-Biographical Information

Principal Name(s)

Please provide us with biographical information on the key principals within your firm as a Word document.

Key Principals – biographical information attached:  No  Yes

Contact information for Data Collection

Name

Phone

Fax

Email

Contact Information for Investment Manager

Name

Phone

Fax

Email

Contact Information for Key Firm Personnel (at least 3 or more if possible)

Name:

Position

Phone

Fax

Email

Short

Biography

Name

Position

Phone

Fax

Email

Short

Biography

Name

Position

Phone

Fax

Email

Short

Biography

**Program/Fund information – Please fill out one set per program/fund**

<b>Full Program or Fund Name</b>	
<b>Inception date of Program/Fund</b>	
Primary Benchmark	
Secondary Benchmark	
Mgmt. Fee	
Incentive Fee	
Minimum Investment (K)	
Is a Fund available at a lower minimum investment?	
Avg. Margin/Equity Ratio	
Avg. Round Turns/YR/Million	
% Use of Discretion	
% Use of Options	
% Use of Interbank	
Denomination	
Are returns net of fees?	
Are the returns based on trading proprietary capital?	

**Trading Strategy**

Please attach a brief description of the Trading Method in a Word document. Address such issues as trading methodology, risk management, etc.

Trading Approach       Technical     Fundamental  
 (check all that apply)     Trend-Following     Trend-Anticipatory     Other(s)  
 If other please specify

**Portfolio Composition – Average percentage allocation to each sector listed below**

- Currency
- Energy
- Grains
- Interest Rates
- Meats
- Metals - Base
- Metals – Precious
- Softs
- Stock Indices
- Equities
- Single Stock Futures
- Other

**Time Frame – What percentage of trades are:**

- Short-term (30 days or less)
- Medium-term (31 to 90 days)
- Long-term (90 days or more)

## Program/Fund Performance Data

Please provide the monthly **net-of-fees** rate of return and monthly money under management data, since inception, in EXCEL format for each fund or program.  
(See below for format sample)

Date	Rate of Return	Money under Management (K)
Jan. 31, 2006	1.54%	Example 1,000,000 would be recorded as 1000

**Denomination of Money under Management (USD, EURO, etc.):**

## Firm Asset Data

Please provide the monthly firm assets, since inception, in EXCEL format.  
See below for format sample

Date	Firm Assets (K)
Jan. 31, 2006	Example 1,000,000 would be recorded as 1000

**Denomination of Firm Assets (USD, EURO, etc.)**

**For Single Advisor Funds, please continue to next page.**

## Single Advisor Funds Only

Returns type	<input type="checkbox"/> Gross <input type="checkbox"/> Net of all fees <input type="checkbox"/> Net of management fees only
Redemptions	<input type="checkbox"/> Mthly. <input type="checkbox"/> Qtr. <input type="checkbox"/> Semi Ann. <input type="checkbox"/> Ann. <input type="checkbox"/> Wkly
High Water Mark	<input type="checkbox"/> No <input type="checkbox"/> Yes
Hurdle Rate	<input type="checkbox"/> No <input type="checkbox"/> Yes (If yes, indicate below)
<i>If Yes:</i>	Rate _____
Fund Leverage	<input type="checkbox"/> No <input type="checkbox"/> Yes (If yes, indicate below)
<i>If Yes:</i>	Amount _____
Lock-up	<input type="checkbox"/> No <input type="checkbox"/> Yes (If yes, indicate below)
<i>If Yes:</i>	Number of Days _____
Denomination	Required Field
Advance Notice for Redemptions	<input type="checkbox"/> No <input type="checkbox"/> Yes (If yes, indicate below)
<i>If Yes:</i>	Number of Days _____
Redemption Fees	<input type="checkbox"/> No <input type="checkbox"/> Yes (If yes, indicate below)
<i>If Yes:</i>	Amount _____
Other Fees	
Payout	____% within ____ days (when an investor wishes to redeem their shares, what % is paid out in how many days)
K-1 issued?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Date of issue	(Usual month):N/A
Master Feeder Fund	<input type="checkbox"/> No <input type="checkbox"/> Yes
Subscriptions:	<input type="checkbox"/> Mthly. <input type="checkbox"/> Qtr. <input type="checkbox"/> Semi Ann. <input type="checkbox"/> Ann. <input type="checkbox"/> Wkly
Exchange listing:	Required Field

On-Shore Fund  Open  Closed

Registration State:

Is the U.S. fund registered with the SEC under the Investment Company Act of 1940 and/or the Securities Act of 1933?

No  Yes

Is the fund:

3c1  3c7  Other

Type of US Investors:

US QP investors?

US Accredited investors?

US QP IRA and other Tax deferred Status investors?

Can fund accept Non-US investors?

No  Yes

Off-Shore Fund    Open     Closed

Domicile (Country):

Does the fund  
accept US     No     Yes  
investors?

Type of US     US QP investors?  
Investors?     US Accredited investors?  
                   US QP IRA and other Tax deferred Status investors?

## **Fund Administration**

### Administration

Firm  
Contact Person  
Contact Phone  
Address  
City, State, Zip  
Country

### Transfer Agent

Firm  
Contact Person  
Contact Phone  
Contact Fax  
Address  
City, State, Zip  
Country

### Audit

Firm  
Contact Person  
Contact Phone  
Last Audit (year)  
Usual Audit Month

### Custodian

Firm  
Contact Person  
Contact Phone

### Prime Broker

Firm  
Contact Person  
Contact Phone

